

STATUTORY TRUST CLAIM FORM



Please return your completed form to us by post or email:

Stephensons Solicitors LLP

Wigan Investment Centre

interventionaccounts@stephensons.co.uk

Waterside Drive

Wigan

WN3 5BA

Intervened Firm:

Part 1 – Your information

Full name (of all applicants) or Company name:

Full address & postcode:

Your phone number(s):

Day:

Evening:

Mobile:

Your email address:

Have you instructed a new solicitor?

No

Yes (please provide their details:)

Part 2 – Your claim

Have you made a claim to the Solicitors Regulation Authority Compensation Fund?

No

Yes

*If you have selected **yes**, you may not need to complete this form. Please contact us to let us know that you have made a claim to the Compensation Fund and we can discuss your next steps.*

*If you have selected **no**, please continue to complete the form.*

How much money was the intervened firm holding for you? *Please provide your best estimate but if unknown, please leave blank.*

What did you instruct the intervened firm to do? *For example, purchase a house. Please provide as much information as possible, along with supporting documents.*

Are you aware of anyone else who may have a claim to the funds, or need to know about this claim (for example, a bank or building society, insurance company or litigation funder)?

No

Yes (please provide details):

Did you get public funding?

Yes

No

Have you paid any costs to the intervened firm?

Yes

No

If no, please say how much you believe may be due to the intervened firm:

If work has been done and has not been paid for, this may be taken off any money held.

We may need further information or evidence to support your claim. We will contact you if this is the case.

Part 3 – Declaration

I confirm that I have had dealings with the intervened firm, and I wish to claim any money that may be owed to me.

I understand that if I receive money I'm not entitled to, I must return it to the Solicitors Regulation Authority (SRA) within 21 days.

If I receive money from the Compensation Fund, I agree that the SRA will take over any rights I may have to claim against the solicitor, up to the amount paid to me. I understand that you may sue in my name on the basis that you will protect me against any legal costs.

I give permission for the SRA to collect information about my claim from other people, and to share relevant information with others where necessary.

To the best of my knowledge, the information I've provided is true. I understand that I must inform the SRA of any other relevant details, such as receiving other payments or being made bankrupt.

If I am signing this form on behalf of someone else, I confirm that I have their permission to do so.

I understand that the SRA will handle my personal information in line with GDPR. Any personal data that is no longer needed will be securely deleted.

Your name/signature:

Date:

For joint applications only:

Name/signature of joint applicant:

Date:

Part 4 – Identification Guidance

Before we can process your claim, you **must** provide identification documents for **all** applicants.

Acceptable documents include, but is not limited to, a copy of:

One of the following (List A)

- Current valid full passport
- Current United Kingdom, EU, Isle of Man, Channel Islands photo card driving licence (not a provisional)
- Current identity card issued by the UK Identity and Passport Service to a non-UK national resident in the UK

Or

Two of the following (List B)

- Utility bill less than three months old*
- Council tax bill for the current year
- Council rent book showing the rent paid for the last three months
- Mortgage statement for the mortgage accounting year just ended*

* These must be postal statements, not electronic versions

Companies

One of the company directors is required to complete this form and provide one of the forms of identification above, together with proof of directorship. This may be in the form of a print off from Companies House, or equivalent.

Lenders

Lenders authorised and regulated by the Financial Conduct Authority and/or Prudential Regulation Authority are not required to provide identification.

Charities

Please provide evidence of your Charity number, issued by the relevant regulator.

Please note that your claim will not be processed unless identification is provided.

Part 5 – Bank Details

In the event that your claim is successful, please provide your bank details:

If this is a joint application, but the bank details are for a sole account holder, we need authority from the joint applicant that they are happy for payment to be made. This can be sent via email.

Account name:

Sort code:

Account number:

Please note, these details will be deleted immediately if your claim is unsuccessful.

Please provide us with a copy of your bank statement showing these account details.

Final Checklist:

- Completed claim form
- Identification
- Bank details
- Bank statement
- Supporting documents

The Solicitors Regulation Authority is committed to the promotion of equality and diversity. As such, it will ensure that people can access its service and information. It will make reasonable adjustments where required and appropriate. If you wish to make a request, please contact us on 0121 329 6830.